

## Contemporary crustal deformation around the southeast borderland of the Tibetan Plateau

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[1] We derive a detailed horizontal velocity field for the southeast borderland of the Tibetan Plateau using GPS data collected from the Crustal Motion Observation Network of China between 1998 and 2004. Our results reveal a complex deformation field that indicates that the crust is fragmented into tectonic blocks of various sizes, separated by strike-slip and transtensional faults. Most notably, the regional deformation includes 10–11 mm/yr left slip across the Xianshuihe fault, ~7 mm/yr left slip across the Anninghe-Zemuhe-Xiaojiang fault zone, ~2 mm/yr right slip across a shear zone trending northwest near the southern segment of the Lancang River fault, and ~3 mm/yr left slip across the Lijiang fault. Deformation along the southern segment of the Red River fault appears not significant at present time. The region south and west of the Xianshuihe-Xiaojiang fault system, whose eastward motion is resisted by the stable south China block to the east, turns from eastward to southward motion with respect to south China, resulting in clockwise rotation of its internal subblocks. Active deformation is detected across two previously unknown deformation zones: one is located ~150 km northwest of and in parallel with the Longmenshan fault with 4–6 mm/yr right-slip and another is continued south-southwestward from the Xiaojiang fault abutting the Red River fault with ~7 mm/yr left slip. While both of these zones are seismically active, the exact locations of faults responsible for such deformation are yet to be mapped by field geology. Comparing our GPS results with predictions of various models proposed for Tibetan Plateau deformation, we find that the relatively small sizes of the inferred microblocks and their rotation pattern lend support to a model with a mechanically weak lower crust experiencing distributed deformation underlying a stronger, highly fragmented upper crust.

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### 1. Introduction

[2] The southeast borderland of the Tibetan Plateau is located between the heartland of the plateau to the west and the stable south China block to the east (Figure 1). It spans most of Sichuan and Yunnan provinces in southwest China, and is characterized by complex Cenozoic structures created during the Indo-Asia collision process [e.g., Molnar and Tapponnier, 1975; Yin and Harrison, 2000]. Over the past three decades various models have been developed to describe the tectonic evolution and uplift of the Tibetan Plateau, following the ground breaking work of Molnar and

Tapponnier [1975] [e.g., England and McKenzie, 1982; Tapponnier et al., 1982, 2001; Vilotte et al., 1986; Peltzer and Tapponnier, 1988; Houseman and England, 1986, 1993, 1996; Holt et al., 1995, 2000; Kong and Bird, 1996; Royden et al., 1997; England and Molnar, 1997a, 1997b; Flesch et al., 2001; F. Shen et al., 2001; Replumaz and Tapponnier, 2003]. Differences between these models usually focus on two important questions: (1) Is tectonic deformation block-like or broadly distributed? (2) Is the north-south shortening of the Tibetan Plateau absorbed mainly by crustal thickening or eastward extrusion? One school of thought believes that the collision zone is composed of a collage of lithospheric blocks and deformation takes place mainly along block boundaries delineated by large-scale, rapidly slipping strike-slip faults. Because the blocks cannot absorb deformation internally, in this view north-south shortening of the Tibetan Plateau is accommodated by rapid eastward extrusion [Tapponnier et al., 1982; Peltzer and Tapponnier, 1988; Avouac and Tapponnier, 1993]. Another school suggests that the crustal strength is reduced by the existence of a ductile lower crust, making deformation between the upper crust and mantle “decoupled”. Therefore northward advancement of the

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66 Indian plate results in thickening of the lower crust and  
 67 broadly distributed deformation [England and McKenzie,  
 68 1982; Vilotte et al., 1986; Houseman and England, 1996].  
 69 Mechanically speaking, the block models suggest an  
 70 important role of high slip rate, deeply rooted strike-slip  
 71 faults bounding major blocks, while the “decoupled”

models advocate that such faults are likely to be slow and  
 72 abundant, reflecting a regional crustal flow field, preexist-  
 73 ing weaknesses, and driving stress conditions. These two  
 74 groups of end-member models suggest rather different  
 75 crustal deformation patterns in the vicinity of the plateau,  
 76 particularly around its east and southeast borderland (e.g., 77

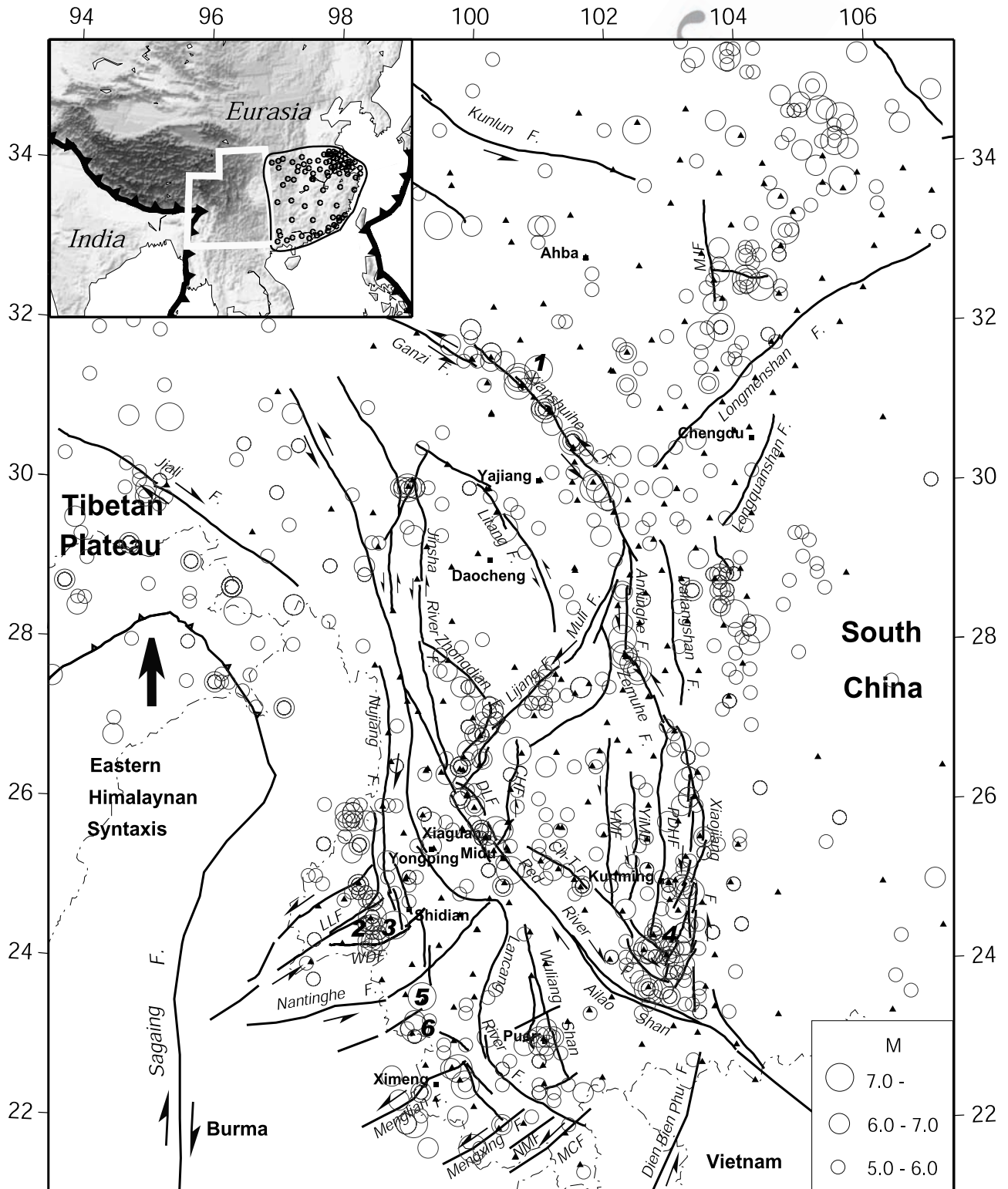


Figure 1

78 *Replumaz and Tapponnier's* [2003] Figure 3 versus *F. Shen*  
 79 *et al.'s* [2001] Plate 2). Thus precise measurement of crustal  
 80 deformation patterns in this region is crucial in differenti-  
 81 ating these kinematic and geodynamic models.

82 [3] In the last decade, space geodetic techniques, espe-  
 83 cially the Global Positioning System (GPS) have been  
 84 used successfully to measure the crustal motion across  
 85 tectonic deformation zones. Such studies in the Tibetan  
 86 Plateau and its vicinity have provided us with a basic  
 87 understanding of crustal deformation patterns in the region  
 88 [*Bilham et al.*, 1997; *King et al.*, 1997; *Larson et al.*,  
 89 1999; *Chen et al.*, 2000; *Z.-K. Shen et al.*, 2001; *Wang et*  
 90 *al.*, 2001; *Vigny et al.*, 2003; *Chen et al.*, 2004; *Zhang et*  
 91 *al.*, 2004]. GPS data have been used to argue against [e.g.,  
 92 *Zhang et al.*, 2004] and for (*W. Thatcher*, submitted to  
 93 *Science*, 2005) block-like deformation of the Tibetan  
 94 Plateau. Still, densified GPS station networks are needed  
 95 to acquire more precise measurements of contemporary  
 96 crustal deformation in key regions such as the southeast  
 97 borderland, in order to provide stronger constraints on the  
 98 kinematics of deformation of the Tibetan Plateau and its  
 99 adjoining regions.

100 [4] Independent of the preferred geodynamic model of  
 101 the region, upper crustal deformation is brittle and discon-  
 102 tinuous and affected by earthquake cycle effects. Through-  
 103 out much of the earthquake cycle (the so-called interseismic  
 104 period) deformation is essentially steady in time and elastic  
 105 strain is concentrated within 2–3 locking depths of crustal  
 106 faults [e.g., *Thatcher*, 1983]. In the years following a large  
 107 crustal earthquake, deformation rates are accelerated by  
 108 various postseismic relaxation processes, which decay to  
 109 background levels within a few decades [e.g., *Thatcher*,  
 110 1983]. Thus, unless a large ( $M > 7$ ) earthquake occurred  
 111 within the last decade or two, widely spaced geodetic  
 112 measurements across a fault zone are expected to reflect  
 113 long-term rates. Dense geodetic measurements are needed  
 114 to delineate high strain rate zones associated with major  
 115 faults and identify essentially rigid block interiors. If the  
 116 deformation is well explained by horizontal motions of  
 117 large, undeforming blocks, a lithospheric extrusion model  
 118 may be favored. In this case, faults are expected to be long-  
 119 lived features of localized deformation throughout the  
 120 lithosphere. If the dimensions of inferred crustal tectonic  
 121 blocks in a broadly distributed deformation zone is less than  
 122 a few hundreds kilometers and slip rates are low, we may  
 123 infer that deformation at depth occurs by broadly distributed  
 124 flow. It can be argued, however, that as block dimensions  
 125 decrease, the two types of models converge. In addition to  
 126 these block dimension aspects, geodynamic models of  
 127 continental deformation predict different first-order patterns

of deformation, such as the nature of rotation about the  
 eastern syntaxis of the Himalaya that may provide further  
 diagnostic evidence. In continuum flow models, slip rates  
 and the distribution of active faults may vary relatively  
 rapidly through geologic time. Ultimately, the debate about  
 the nature of continental deformation in the Indo-Eurasian  
 collision zone is about the rheology and localization of  
 deformation in the lower crust and upper mantle.

[5] In this study, following a brief overview of major  
 fault zones in the region we present the horizontal velocity  
 field in the southeast borderland of Tibet inferred from  
 GPS. We then derive kinematic parameters of major tec-  
 tonic structures in the framework of rigidly rotating crustal  
 blocks separated by active faults. Finally, we compare our  
 result with results and predictions of previous studies and  
 discuss implications of our new findings regarding the  
 crustal deformation along the eastern margin of the Tibetan  
 Plateau.

## 2. Geological Setting

[6] Deformation of the southeast borderland of the  
 Tibetan Plateau is thought to have intensified since the late  
 Tertiary as uplift and deformation associated with the Indo-  
 Eurasian collision accelerated in the region [*Replumaz et*  
*al.*, 2001; *Wang and Burchfiel*, 2000; *Xu and Kamp*, 2000].  
 The borderland is sliced by a network of tectonic faults,  
 among which the Xianshuihe-Xiaojiang fault system is the  
 most active (Figure 1) [*Wang et al.*, 1998]. This fault  
 system is composed of, from north to south, the Xianshuihe  
 fault striking northwest, the Anninghe fault trending nearly  
 north, the Zemuhe fault trending north-northwest, and the  
 Xiaojiang fault striking nearly north-south [*Kan*, 1977; *Li*  
*and Wang*, 1977; *Allen et al.*, 1991; *Wang et al.*, 1998]. The  
 entire fault system is about 1200 km long and a few  
 hundred meters wide in most places, except across the  
 mid and southern parts of the Xiaojiang fault where it  
 splits into multiple branches spanning a range of 20–  
 30 km. The fault system was incised from at least late  
 Pliocene to early Quaternary (~4–2 Ma) [*Wang et al.*,  
 1998] and is seismically active at present: 14  $M > 7.0$   
 earthquakes were recorded historically since 814, including  
 an event of  $M = 8.0$  in 1833 [*Zhang and Xie*, 2001], with a  
 maximum focal depth of ~20 km [*Tang et al.*, 1993]. Two  
 $M > 7$  events occurred along the fault system during the  
 last century: the 1973  $M7.5$  Luhuo earthquake struck the  
 central section of the Xianshuihe fault, and the 1970  $M7.3$   
 Tonghai earthquake took place near the intersection of the  
 Xiaojiang and Red River-Ailao Shan fault systems [*Holt et*  
*al.*, 1995] (Figure 1). Across a pull-apart basin the Xian-

**Figure 1.** Tectonic map of southeast borderland of Tibetan Plateau [after *Wang et al.*, 1998; *Lacassin et al.*, 1998; *Chen et al.*, 2000; *Wang and Burchfiel*, 2000; *Deng et al.*, 2003]. The study area is depicted in the inset map. Dots in the inset map show stations used to define the south China reference frame (delineated by the solid curve). Triangles denote the GPS survey stations. Circles are earthquakes from 780 B.C. to 1996 [*Division of Earthquake Monitoring and Prediction, State Seismological Bureau*, 1995; *Division of Earthquake Monitoring and Prediction, China Seismological Bureau*, 1999]. The events of  $M \geq 7$  occurred in the 20th century are marked with italic numbers: 1, 1973  $M7.5$  Luhuo; 2, 1976  $M7.0$  Shidian; 3, 1976  $M7.1$  Longling; 4, 1970  $M7.3$  Tonghai; 5, 1988  $M7.0$  Lancang; and 6, 1988  $M7.1$  Gengma earthquakes [*Holt et al.*, 1995]. Abbreviations are Ch-T Flt., Chuxiong-Tonghai fault; CHF, Chenghai fault; LLF, Longling fault; MCF, Mae Chan fault; MJF, Minjiang fault; NMF, Nam Ma fault; PDHF, Puduhe fault; WDF, Wanding fault; YiMF, Yimen fault; YMF, Yuanmou fault.

shuihe fault system continues northwesterly as the Ganzi fault, and about 150 km southwest of and in parallel with the Xianshuihe fault lies the Litang fault. All of the faults slip left laterally and are usually situated in deeply incised valleys separating high mountain ranges in between [Ma, 1989; Wang and Burchfiel, 2000].

[7] South of the Xianshuihe-Xiaojiang fault system lies the Red River-Ailao Shan shear zone, which is a major physiographic and geological discontinuity in East Asia. It is unmistakably visible on satellite images with a sharply defined fault zone and narrow trough, stretching for more than 1000 km from Tibet to the Hanoi basin [Allen et al., 1984; Tapponnier et al., 1990; Leloup et al., 1995]. It has long been presented as a classic example of a lithospheric-penetrative, intracontinental transform fault, separating the Indochina block from the south China block [e.g., Tapponnier and Molnar, 1977; Allen et al., 1984; Leloup et al., 2001]. Four metamorphic massifs, the Xuelong Shan, Diancang Shan, Ailao Shan (in Yunnan, China), and Day Nui Con Voi (in Vietnam), are exposed as 10–20 km wide belts of high-grade metamorphic rocks along the fault [Leloup et al., 1995, 2001]. Abundant geochronological and thermobarometric evidence has been presented for late Tertiary (~35–17 Ma) ductile, left-lateral shearing of about 700 ± 200 km along the Red River-Ailao Shan shear zone [e.g., Tapponnier et al., 1990; Schärer et al., 1990; Harrison et al., 1992, 1996; Leloup et al., 1995, 2001; Zhang and Schärer, 1999; Burchfiel and Wang, 2003; Gilley et al., 2003]. The opening of the south China Sea (30.5–17 Ma) [Briais et al., 1993] was probably driven by left-lateral strike-slip faulting on the Red River-Ailao Shan shear zone [e.g., Tapponnier et al., 1982; Harrison et al., 1996; Leloup et al., 2001]. The left-slip motion was reversed to right-lateral slip on the Red River-Ailao Shan shear zone ~4.5 Ma [e.g., Leloup et al., 1995; Harrison et al., 1996; Wang et al., 1998]. Total right-lateral offset is estimated between ~6 and ~60 km [Allen et al., 1984; Leloup et al., 1995; Wang et al., 1998; Replumaz et al., 2001]. South of the Red River fault, strands of northeast striking left-lateral faults, such as the Dien Bien Phu, Mae Chan, Nam Ma, Mengxing, and Menglian faults, are present, but do not seem to reach up to the Red River fault. The Red River fault appears to truncate the southward extension of the left-slip Xianshuihe-Xiaojiang fault system in a complex zone of distributed faulting [Le Dain et al., 1984; Wang and Burchfiel, 1997; Lacassin et al., 1998].

[8] At its northwest end, the Red River fault system connects to the Dali fault, and merges into a group of north-south trending faults such as the Jinsha River and Lancang River faults (Figure 1). The Jinsha River, Lancang River, and Nujiang faults are near parallel at 27–30° latitude. To the south, the three faults spread out into a complex fault system: The Nujiang fault splits into a group of faults trending northeast, represented by the Longling fault. This fault system has been seismically active for the past several decades, and experienced two magnitude 7 events in 1976 (Figure 1). The Lancang River fault bends counterclockwise and splits into the Nantinghe, south Lancang River, and Wuliang Shan faults trending northeast, NNE, and northwest, respectively. The  $M_w$  7.1 Lancang and  $M_w$  7.0 Gengma earthquakes occurred along the Nandinghe fault system in 1988 (Figure 1). The Jinsha River fault

extends southeastward to merge into the Red River and Dali fault system [Institute of Geology et al., 1990; Wang et al., 1998; Deng et al., 2003]. Further south, the faults become unclear and distributed in the northern mountains of Indochina. The region bounded by the Xianshuihe-Xiaojiang, Red River, and Jinsha River fault systems is usually considered as a tectonic terrane, called the Sichuan-Yunnan fragment [Kan, 1977; Li and Wang, 1977; Wang et al., 1998]. Crustal motion of the region is predominantly clockwise rotation around the eastern Himalayan Syntaxis (EHS), transporting the plateau material from eastward motion north of the syntaxis to southward motion east of it with respect to south China.

[9] In the region north of the Xianshuihe fault, the topographic margin of the Tibetan Plateau along the Longmen Shan is one of the most striking continental escarpments on Earth: Elevation rises from ~500 m in the Sichuan Basin to peaks exceeding 6500 m over a horizontal distance of ~50 km. Active faults which have been mapped in this region, however, are scant, and appear to be restricted to the Minshan and Longmenshan fault zones [Chen et al., 1994; Tang et al., 1995; Burchfiel et al., 1995]. Epicenters of contemporary earthquakes are scattered in a region northwest of the Longmenshan fault, within which a seismic zone trending NNE is vaguely visible (Figure 1).

### 3. GPS Data and Processing

[10] GPS data used in this study are mainly from the Crustal Motion Observation Network of China (CMONOC [Ma et al., 2001; M. Wang et al., 2003; Zhang et al., 2004]) project. They include data from a nationwide fiducial network of 25 continuous sites observed from July 1998 to October 2004, and 56 survey mode sites with yearly occupations 1998–2004. They also include more than 200 regional survey mode stations occupied in 1999, 2001, and 2004. All the survey mode sites were observed continuously for at least 4 days during each session. The GPS data were analyzed in three steps [Shen et al., 2000]. First, the GPS carrier phase data were processed to obtain loosely constrained daily solutions for station positions and satellite orbits using the GAMIT software [King and Bock, 2000]. Second, the regional daily solutions were combined with global solutions produced by the Scripps Orbital and Position Analysis Center (SOPAC, <http://sopac.ucsd.edu/>) using the GLOBK software [Herring, 2002]. Third, the station positions and velocities were estimated through a Kalman filter procedure using the QOCA software (<http://gipsy.jpl.nasa.gov/qoca/>). The velocity solution is with respect to the global reference frame ITRF2000-NNR [Altamimi et al., 2002], which is realized by carefully selecting a group of 16 global IGS sites (7 in North America, 3 in Australia, 4 in Eurasia, 1 in Pacific, and 1 in Antarctica) and constraining their velocities to the ITRF2000-NNR values at the uncertainties of 2, 2, and 5 mm/yr for their east, north, and up components, respectively. The velocity field can be transformed into regional reference frames (e.g., with respect to the Eurasian plate or south China block) by applying constraints that minimize the motions within the stable interior of these blocks. In a previous study, M. Wang et al. [2003] reported data analysis results of the entire CMONOC network and its preliminary

298 interpretations. A portion of the data has been used in  
 299 *Zhang et al.* [2004] to analyze tectonic deformation in the  
 300 Tibetan Plateau and its vicinities. Here, we focus on a  
 301 detailed analysis and interpretation of the regional defor-  
 302 mation field around the southeast borderland of the Tibetan  
 303 Plateau, and the velocity data set is provided as an  
 304 electronic supplement<sup>1</sup> to this paper.

#### 305 4. Microblock Motion Model

306 [11] In the following analysis we assume that upper  
 307 crustal deformation is block-like. That is, the region is  
 308 divided into rigid blocks, which move with respect to each  
 309 other in the form of translation and rotation. These blocks  
 310 are delineated by active faults, which accommodate the  
 311 deformation associated with relative block motions. It is  
 312 necessary to point out that our microblock model differs  
 313 from the block motion model mentioned in the introduc-  
 314 tion of this paper, in which large blocks are separated by a  
 315 limited number of large, lithospheric strike-slip faults. As  
 316 in both the distributed deformation and block motion  
 317 models upper crustal deformation is still meant to be  
 318 brittle and discontinuous, our kinematic model results  
 319 can provide support for either view depending on the  
 320 sizes of the blocks, the kind of faults delineating the block  
 321 boundaries, and the overall pattern of regional deformation  
 322 we infer.

323 [12] In our model we do not explicitly account for elastic  
 324 deformation along block boundaries that is associated with  
 325 locking of the brittle part of the faults [e.g., *Meade and*  
 326 *Hager*, 2005]. For crustal strike-slip faults, such defor-  
 327 mation takes place within  $\sim 30$  km of the fault. Simple  
 328 dislocation models show that  $\sim 70\%$  and  $\sim 80\%$  of elastic  
 329 deformation take place within 30 km of a strike-slip fault  
 330 locked at 15 and 10 km depth, respectively [e.g., *Savage*  
 331 *and Burford*, 1970]. The blocks we consider are much larger  
 332 than 30 km, making it possible to use the GPS sites located  
 333 in the stable interior of the blocks to determine their  
 334 relative motions. Given the 1–2 mm/yr precisions of our  
 335 GPS velocities, we are only able to define relative motions  
 336 and block stability at this level. Thus additional faults and  
 337 block fragmentation at mm/yr rates or less are permitted  
 338 by our analysis.

339 [13] In our model the postseismic deformation effect is  
 340 not explicitly modeled either. Such an effect is usually  
 341 negligible because the earthquakes are usually too small  
 342 or occurred too long ago. The only events which might be  
 343 able to produce detectable deformation and affect the GPS  
 344 observations of this study are the 6  $M \geq 7$  events of the last  
 345 century shown in Figure 1. Among these 6 events, 4  
 346 occurred more than 20 years before the start of the GPS  
 347 measurements used in this study, by then most of the  
 348 postseismic deformation is believed to have decayed to  
 349 submillimeters per year levels. The other two events, the  
 350 1988  $M_w$  7.1 Lancang and  $M_w$  7.0 Gengma earthquakes  
 351 occurred more than 10 years before the start of the GPS  
 352 observations. Because of their relatively smaller magni-  
 353 tudes, their postseismic deformation effect should also  
 354 be limited. Thus the postseismic deformation signals are

believed to be no more than 1 mm/yr and restricted to the  
 epicentral areas, and would not affect our block motion  
 estimation obtained mainly based on the far field defor-  
 mation pattern.

#### 4.1. South China Reference Frame

[14] The velocity solution we obtained from the analysis  
 described above is with respect to the ITRF2000-NNR  
 reference frame. For our kinematic analysis, we transfer  
 the solution to a regional reference frame. We first compute  
 a common angular velocity pole of 96 stations located in the  
 south China block (defined as part of the Chinese continent  
 east of the Longmenshan and Xiaojiang faults and south of  
 the north China craton) relative to the ITRF2000-NNR  
 reference frame. We then eliminate potential outliers from  
 the 96 station velocities through an iteration procedure, each  
 time removing a site with the largest postfit residual and  
 redo the angular velocity estimation, until all the postfit  
 residual velocities are within 2 mm/yr. In total 10 outliers  
 are eliminated whose locations appear to be randomly  
 distributed, and 86 stations (whose locations are shown in  
 the inset map of Figure 1) are used to define the south  
 China block. The angular velocity pole of rotation from  
 ITRF2000-NNR to south China is located at  $57.92^\circ\text{N}$   
 $146.70^\circ\text{E}$ , with a counterclockwise rotation rate of  $0.22^\circ$ /  
 Myr. Using this angular velocity the GPS velocity field is  
 converted to the south China reference frame as defined  
 (Figure 2).

#### 4.2. GPS Velocity Filtering and Block Motion Model

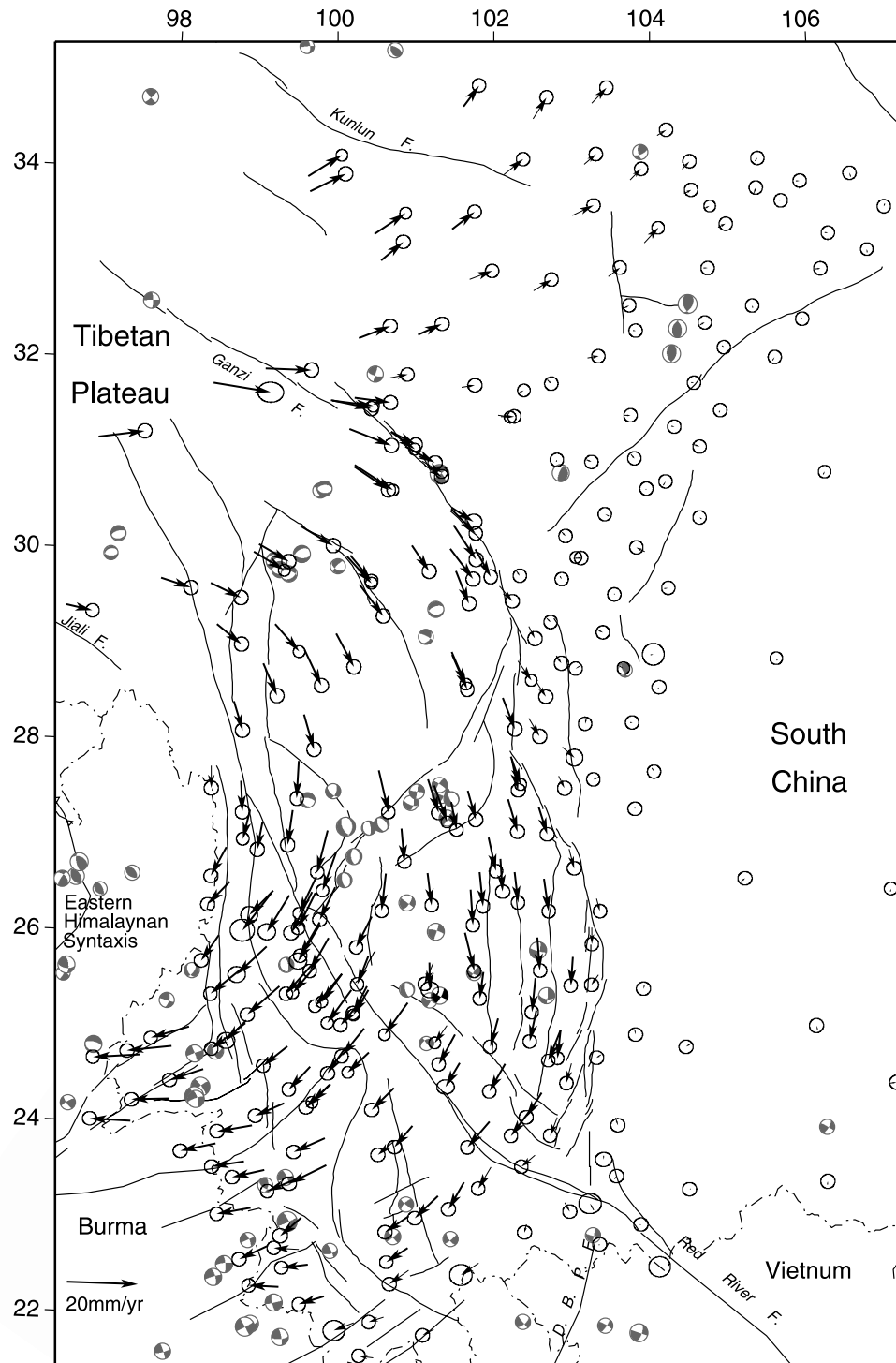
[15] Although the velocity field we have obtained is  
 continuous and coherent over all (Figure 2), we identify  
 and remove a few possible outliers in the data set. These  
 velocity outliers could be caused by various sources, such as  
 monument instability, accidents that occurred during sur-  
 veys, coseismic displacements, and receiver/antenna type  
 mismatch between survey epochs. For the last possibility of  
 receiver/antenna type mismatch, we have modeled antenna  
 phase center offsets for nonchoke ring antennas on a few  
 Ashtech receiver units in the 1999 field survey (for details  
 of the modeling and correction please see *Z.-K. Shen et al.*  
 [2001]), but it cannot be ruled out that some residual errors  
 still remain in the velocity estimates. Also, numerous  $M > 5$   
 earthquakes occurred in the region during the observation  
 time period, including a  $M6.4$  event that occurred near the  
 northwest end of the Chongxing-Tonghai fault (Figure 2).  
 Coseismic deformation of these events could have affected  
 our estimates of station velocities for the sites located in the  
 epicentral regions.

[16] We detect and remove these outliers in two steps.  
 First, we deleted half a dozen obvious outliers which are  
 clearly at odds with the neighboring sites by visual inspec-  
 tion. Second, a rigorous procedure for outlier detection is  
 performed which is based on the inherent assumption of  
 rigid block motion employed in our study:

[17] 1. For a given block, use all the velocities of stations  
 located in the block to estimate the block angular velocity  
 by least squares regression. Evaluate the postfit residual  $\chi_n^2$ ,  
 where  $n$  is the number of sites in the block.

[18] 2. Remove the site with the largest postfit residual  
 and use the remaining station velocities to reestimate the  
 block angular velocity. Evaluate the postfit residual  $\chi_{n-1}^2$ .

<sup>1</sup>Auxiliary material is available at <ftp://ftp.agu.org/apend/jb/2004JB003421>.



**Figure 2.** GPS velocity field with respect to the south China block. Each velocity arrow originates at the location of the site and points to its motion direction. The error ellipses represent 50% confidence. The earthquake focal mechanisms are from the Harvard CMT catalog, 1976–2003. The  $M6.4$  earthquake that occurred 4 January 2000 at  $25.3^{\circ}\text{N}$ ,  $101.5^{\circ}\text{E}$  is marked by black and white instead of gray and white focal mechanisms. Abbreviation is DBPF, Dien Bien Phu fault.

415 [19] 3. Use the  $F$  test to evaluate the significance of the  
416 outlier. The  $F$  value is defined as:

$$F = F(\chi_n^2, 2n - 3; \chi_{n-1}^2, 2n - 5) = \frac{\chi_n^2}{2n - 3} \bigg/ \frac{\chi_{n-1}^2}{2n - 5},$$

and the probability  $P(F)$  is evaluated. The site is removed if  
418 the  $F$  test exceeds 90% confidence, and the inspection  
419 process returns to step 1. The procedure is stopped when  $F$   
420 test yields  $<90\%$  confidence. Fifteen (about 6%) sites have  
421 been removed by this procedure, most of them are in the  
422 vicinity of block boundaries. 423

[20] A similar procedure to the above described outlier detection is performed to verify independence of neighboring blocks. In this procedure we first divide the study area into blocks separated by faults. Geologic and seismicity information is used for the initial definition of blocks, with the Xianshuihe-Anninghe-Xiaojiang, Lijiang, Red River, Longling, and Longmenshan faults as the initial block boundaries. Two significant GPS velocity gradient belts, which are associated with no known geologic faults, are also marked as block boundaries and will be described in detail later. We then use the  $F$  test to distinguish independent motions between adjacent blocks. Nonindependent blocks are merged together, until all relative block motions are independent at 90% confidence level. We should point out that our method is effective in identifying relative block motion at 90% confidence. However, if the  $F$  test result indicates less than 90% confidence this does not mean that there is absolutely no relative motion between the two potential blocks, but only that the GPS data do not require relative motion between the two blocks. In other words, relative block motion, if it exists, may be more subtle than what can be detected at a high (90% in this case) confidence level. Most of the blocks are verified to be rigid internally up to the limit of data precision (1–2 mm/yr), with only a couple of exceptions, which will be discussed later.

## 5. Results

[21] Figure 2 shows the velocity solution in the southeast borderland of the Tibetan Plateau with respect to the stable south China block. The first-order features of crustal deformation, clearly visible in Figure 2, are the left-slip motion along the Xianshuihe-Xiaojiang fault system and the prominent clockwise rotation around the EHS southwest of this fault zone. More detailed description and analysis of the block modeling and deformation along block boundaries are given in the following sections.

### 5.1. Block Motion

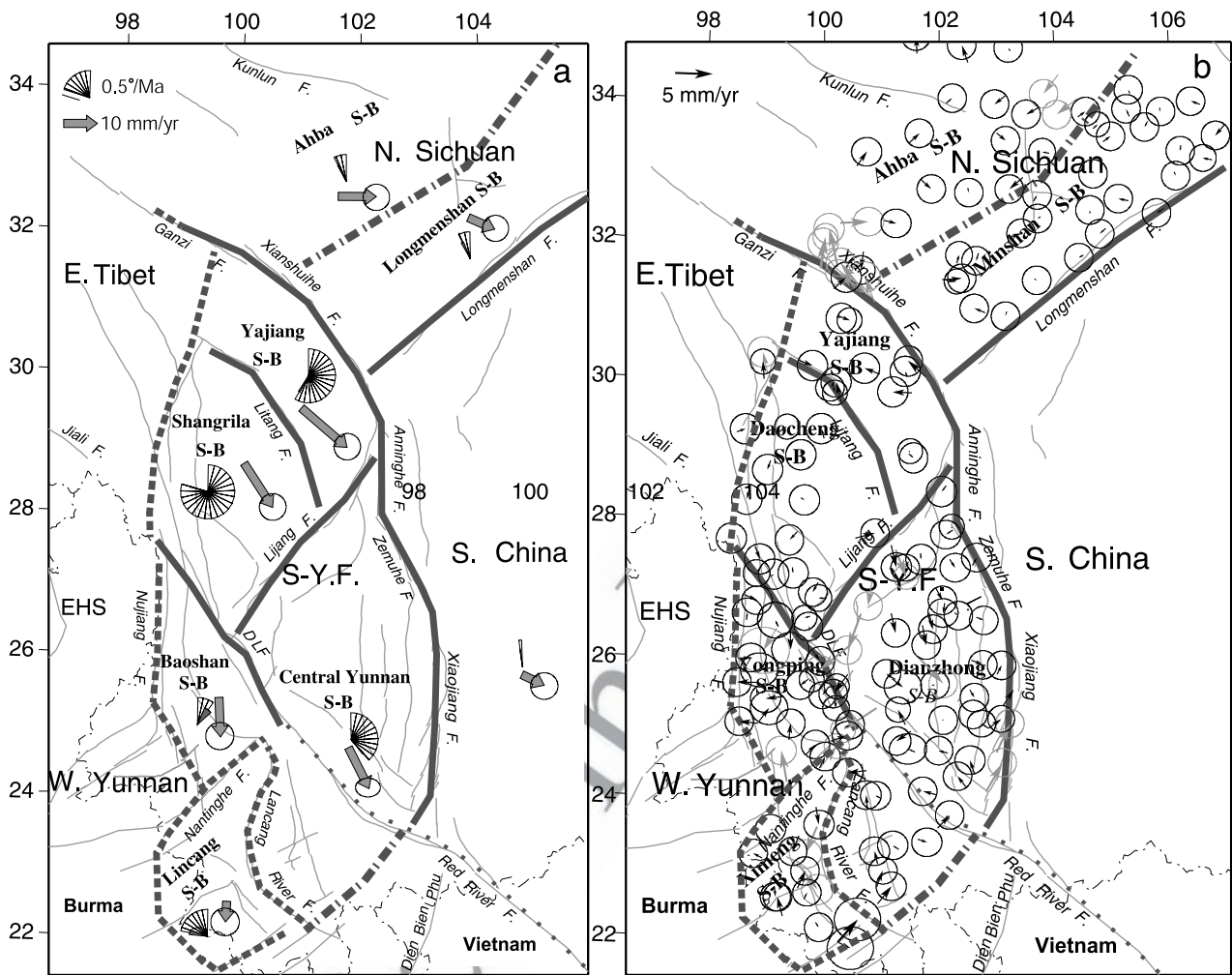
[22] On the basis of the deformation pattern shown in the GPS velocity field and inferred from other geologic [e.g., Tang *et al.*, 1993; Wang and Burchfiel, 1997, 2000; Wang *et al.*, 1998; Xiang *et al.*, 2000, 2002; Zhang and Xie, 2001; Xu *et al.*, 2003] and seismological [e.g., Ma *et al.*, 2000; Zhang *et al.*, 2001a; Huang *et al.*, 2002; C.-Y. Wang *et al.*, 2003; Yang *et al.*, 2003; Division of Earthquake Monitoring and Prediction, State Seismological Bureau, 1995; Division of Earthquake Monitoring and Prediction, China Seismological Bureau, 1999] studies, we divide the southeast borderland of the Tibetan Plateau into 5 major tectonic domains: the northern Sichuan region, the eastern Tibet region, the Sichuan-Yunnan fragment, the south China block, and the western Yunnan region (Figure 3). Some of the regions also deform internally and can be further divided into subblocks. For example, the northern Sichuan region is composed of the Longmenshan and Ahba subblocks based on GPS data which will be described later; the Sichuan-Yunnan fragment is composed of the Yajiang, Shangrila, and central Yunnan subblocks [Wang and Burchfiel, 2000; Xiang *et al.*, 2002; Xu *et al.*, 2003]; and the western Yunnan region is composed of the Baoshan and Lincang subblocks [Wang and Burchfiel, 1997; Xiang *et al.*, 2000] (Figure 3).

We then derive the block motion parameters and obtain postfit residual  $\chi^2$  for each block or subblock. Our result shows that data from all of the blocks and subblocks fit the rigid block motion model well, as shown in Figure 3b, with their reduced postfit residual  $\chi^2_{\nu}$  below 1.0. We use the  $F$  test to determine independent blocks in a procedure described above. Eight independent blocks and subblocks in total are identified: south China, Ahba, Longmenshan, Yajiang, Shangrila, central Yunnan, Baoshan, and Lincang. The estimated block motion parameters and data fitting statistics are documented in Table 1. With respect to the Eurasia plate, the south China and Longmenshan blocks move east-southeastward at a rate of 7–8 mm/yr with small counterclockwise rotation; the Yajiang, Shangrila and central Yunnan blocks are rapidly moving southeast to south-southeastward ( $\sim 13$ – $18$  mm/yr), with noticeable clockwise rotations ( $\sim 0.9$ – $1.9^\circ$ /Myr); the Ahba block moves eastward at a rate of  $\sim 11$  mm/yr and rotates clockwise at about  $0.18^\circ$ /Myr. Paleomagnetic studies show that a total of  $4$ – $17^\circ$  clockwise rotation has occurred for the southern Sichuan-Yunnan fragment since the Paleocene-Eocene time [Yoshioka *et al.*, 2003; Otofujii *et al.*, 1998]. Assuming the rotation started with the formation of the Xianshuihe fault  $\sim 4$  Ma [Wang *et al.*, 1998], the average rotation rate is  $\sim 1$ – $4^\circ$ /Myr. This estimate is in agreement with our results of  $\sim 1.4^\circ$ /Myr and  $\sim 1.9^\circ$ /Myr for the Yajiang and Shangrila blocks, respectively.

### 5.2. Sichuan-Yunnan Fragment

[23] The Sichuan-Yunnan fragment is regarded as a unique tectonic terrane, whose boundaries are usually defined as the Xianshuihe fault to the north, Anninghe-Zemuhe-Xiaojiang fault zone to the east, Lancang-Jinsha fault zone to the west, and Red River fault zone to the south [Kan, 1977; Li and Wang, 1977; Wang *et al.*, 1998]. The GPS velocity field relative to the Sichuan-Yunnan fragment is shown in Figure 4a, with velocity profiles across several fault strands revealed in Figure 5. To plot velocity profiles across a given fault or deformation zone, we first rotate the velocity field to establish a local reference frame with respect to a block on one side of the fault, such that there is no rotational effect left on this side of the velocity field. Fault slip rates are then estimated by taking far-field differences in velocity across chosen faults.

[24] We determine left-lateral slip across the western, central, and eastern sections of the Xianshuihe fault at rates of  $10 \pm 2$ ,  $10 \pm 2$ , and  $11 \pm 2$  mm/yr, respectively (Figure 4). There are no significant fault-normal motions across the fault (Figures 5a–5c). Our result is consistent with the 10 mm/yr left slip across the Xianshuihe fault reported by Chen *et al.* [2000]. We find  $4 \pm 2$  mm/yr of left slip across both the Anninghe and Daliangshan faults, suggesting that the two faults define a  $\sim 50$ -km-wide subblock between them; however, more data would be needed to better resolve the partitioning of slip between the two subparallel faults (Figure 5d). Linking a right step between the Anninghe and Xiaojiang fault, the Zemuhe fault slips left laterally at a rate of  $7 \pm 2$  mm/yr and extends at a rate of  $3 \pm 3$  mm/yr across (Figure 5e). The Xiaojiang fault shows little fault-normal motion but a left slip of  $7 \pm 2$  mm/yr (Figure 5f). Other mapped faults located west of and in parallel with the Xiaojiang fault, the Puduhe, Yimen, and



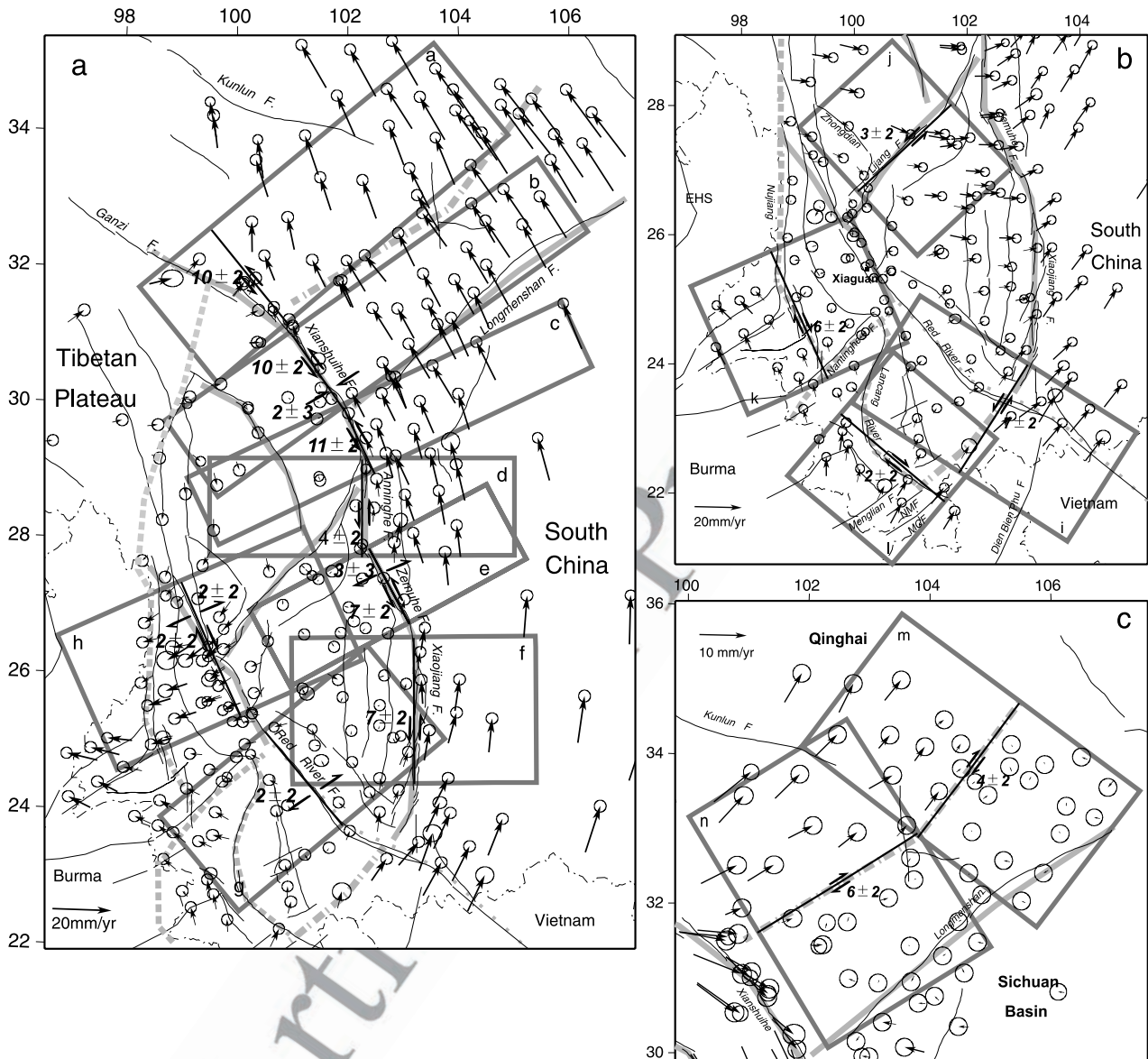
**Figure 3.** (a) Delineation of deformation blocks and their motions with respect to the Eurasia plate. Solid lines are the boundaries of crustal elements where velocity gradient can be well associated with known active faults, dashed lines are the ones with no precise known locations, dot-dashed lines are new boundaries required by GPS velocity data, and dotted line denotes a former block boundary which appears abandoned because of insignificant deformation found across. The fan-shaped symbols denote block rotation rates referenced to zero azimuth, with their uncertainties marked by fans with smaller radii. Arrows are the block velocities, with the error ellipses representing 95% confidence. The specific rates of block rotation and translation and angular velocities are listed in Table 1. (b) GPS velocity postfit residuals of the block motion model. Error ellipses represent 50% confidence. Gray arrows are outliers which are not used in block motion parameter evaluation. Abbreviations are EHS, eastern Himalayan Syntaxis; S-YF, Sichuan-Yunnan fragment; S-B, subblock.

t1.1 **Table 1.** Microblock Motion Result With Respect to the Eurasian Plate<sup>a</sup>

t1.3	Microblock	Number of Sites	$\chi^2_v$	Angular Velocity			Corr	Reference Point Location		Translation Rate, mm/yr		Rotation Rate, °/Myr
				°/Myr	°N	°E		°N	°E	East	North	
t1.4	South China block	86	0.247	0.083 ± 0.014	63.8 ± 1.6	181.8 ± 9.6	0.744	28.0	106.0	6.36 ± 1.65	-3.71 ± 1.61	0.04 ± 0.01
t1.5	Ahba subblock	15	0.712	0.209 ± 0.008	62.6 ± 23.5	103.9 ± 1.5	0.732	33.2	102.4	11.39 ± 1.61	-0.29 ± 1.59	0.18 ± 0.01
t1.6	Longmenshan subblock	28	0.292	0.183 ± 0.026	53.8 ± 10.4	122.6 ± 8.3	0.992	32.3	104.0	7.68 ± 1.58	-3.72 ± 1.58	0.17 ± 0.03
t1.7	Yajiang subblock	12	0.687	-1.409 ± 0.018	24.8 ± 1.0	96.2 ± 0.8	-0.961	29.5	101.5	13.72 ± 1.60	-11.43 ± 1.61	-1.40 ± 0.02
t1.8	Shangri-la subblock	20	0.442	-1.911 ± 0.010	25.5 ± 0.2	95.7 ± 0.3	-0.852	28.4	99.8	8.69 ± 1.62	-12.98 ± 1.62	-1.91 ± 0.01
t1.9	Central Yunnan subblock	45	0.616	-0.925 ± 0.013	22.1 ± 0.3	95.0 ± 0.5	-0.868	24.5	102.0	5.450 ± 1.77	-11.49 ± 1.78	-0.93 ± 0.01
t1.10	Baoshan subblock	22	0.621	-0.253 ± 0.092	21.7 ± 5.2	72.7 ± 20.9	-0.990	25.0	99.5	0.645 ± 1.71	-11.72 ± 1.65	-0.23 ± 0.09
t1.11	Lincang subblock	10	0.701	0.525 ± 0.044	21.9 ± 1.0	106.2 ± 4.8	-0.833	22.5	99.5	-0.60 ± 1.73	-6.09 ± 1.67	0.52 ± 0.05

t1.12 <sup>a</sup>Parameters are  $\chi^2_v$ , reduced postfit chi-square; reference point location, location where translation and rotation are referenced.



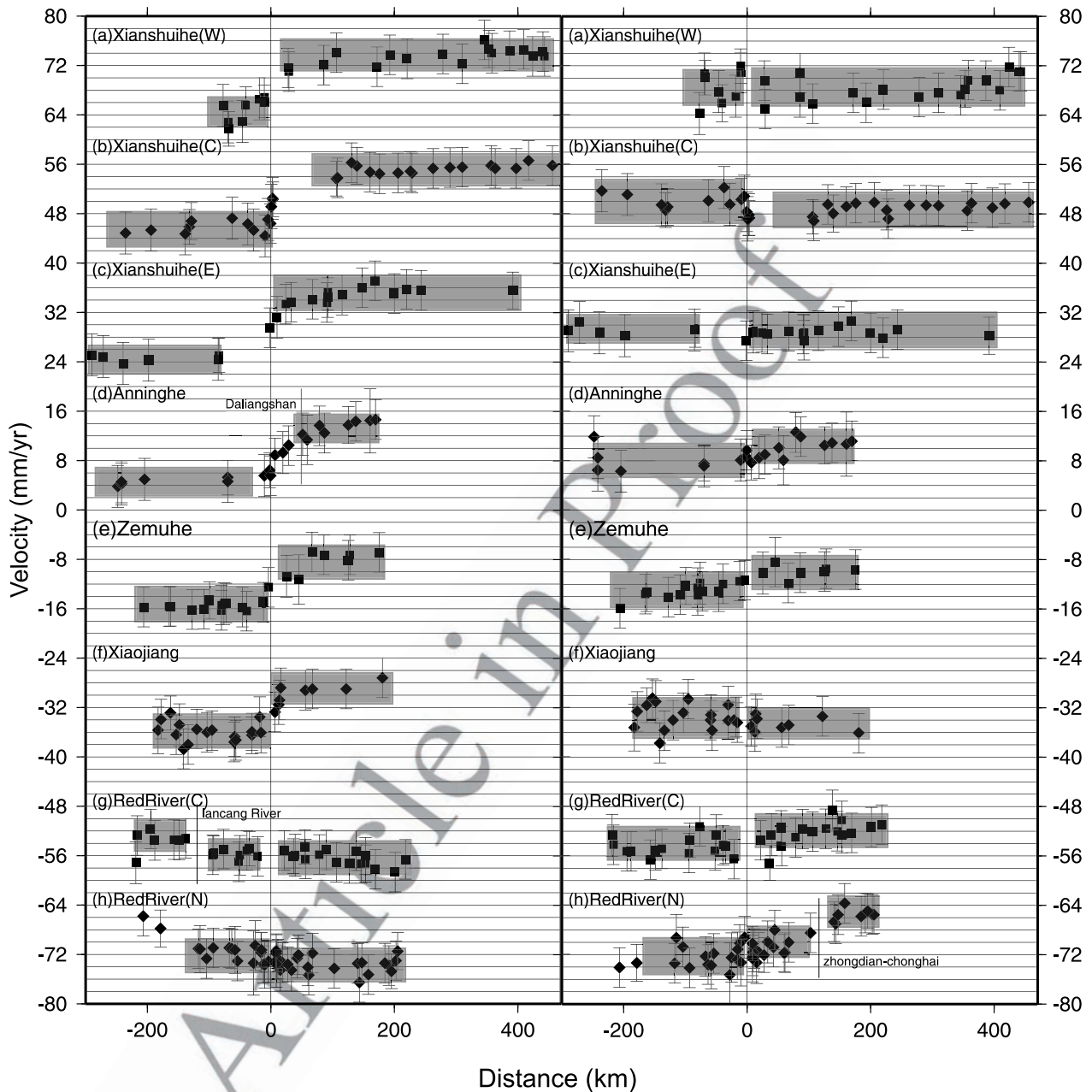


**Figure 4.** (a) GPS velocity field with respect to the Sichuan-Yunnan fragment, (b) close-up view of the Yunnan velocity field with respect to the Baoshan subblock, and (c) close-up view of the Northern Sichuan velocity field with respect to the Longmenshan subblock. The error ellipses represent 50% confidence. The thick straight lines along faults mark the strike directions, across which the fault slip rates are measured, and the results are given next to the slip vector pairs. The gray rectangular frames surrounding fault segments mark the regions within which stations are depicted for slip rate estimation, and their velocity profiles are shown in Figure 5.

545 Yuanmou faults (Figure 1), show no detectable motion  
 546 across; therefore the left-lateral strike slip is confined within  
 547  $\sim 30$  km of the Xiaojiang fault.

548 [25] Figures 5g–5h show deformation across the north-  
 549 west section of the Red River fault within China. We divide  
 550 this part of the Red River fault into two segments, the  
 551 northwest and central segments separated at Midu at  
 552 about  $25^\circ\text{N}$  (Figure 1). About  $2 \pm 2$  mm/yr right slip and  
 553  $2 \pm 2$  mm/yr extension are measured across the northwest  
 554 segment. Another  $\sim 4$  mm/yr NE-SW extension is detected  
 555 across a deformation zone about 120 km northeast of this  
 556 segment of the Red River fault (Figures 4 and 5h). This  
 557 deformation pattern is associated with a complex fault

system (including the Zhongdian, southern Lijiang and  
 558 Chuxiong-Tonghai faults), and the extension is possibly  
 559 related to a cluster of pull-apart basins associated with the  
 560 Red River fault system and deformation at corners of  
 561 rotating fault-bounded blocks [Wang *et al.*, 1998; Chen *et*  
 562 *al.*, 2000]. The right-slip rate across the central segment is  
 563 about  $1 \pm 2$  mm/yr (Figures 4 and 5g). This result is  
 564 different from the Plio-Quaternary long-term slip rates of  
 565  $\sim 5$  mm/yr [Replumaz *et al.*, 2001] and  $2\sim 7$  mm/yr [Allen *et*  
 566 *al.*, 1984] estimated from offsets of  $\sim 25$  km over the past  
 567 5 Myr for the same segment of the fault. The low rates we  
 568 find are consistent with the slip rate estimates of  $< 2.7$  mm/yr  
 569 (averaged over thousands of years) inferred from a fault-  
 570



**Figure 5.** GPS velocity profiles across major active faults and areas. (left) Fault parallel (sinistral positive) and (right) fault-normal (extensional positive) components, with respect to the distance along profile. Data entries are indexed by regions outlined in Figures 4, 6, and 7. Data are shown with  $2\sigma$ . The squares and diamonds are used alternatively to distinguish between adjacent entries. Vertical bars denote the locations of main faults; the added short bars in Figures 5d, 5g, and 5h denote the locations of the Daliangshan, Lancang River, and Zhongdian-Chenghai faults, respectively. Gray bars depict the scattering range of data on both sides of a fault. SEXF, southwest extension of the Xiaojiang fault.

571 trenching study [Weldon *et al.*, 1994], and 1~2 mm/yr  
 572 (averaged over a few years) derived using GPS along the  
 573 southern segment of the fault within Vietnam [Duong and  
 574 Feigl, 1999; Feigl *et al.*, 2003]. Thus the GPS results suggest  
 575 that at present time the Red River fault does not appear to  
 576 behave like a dominant intracontinental transform fault in  
 577 the region as it used to. Other faults, such as the Litang and  
 578 Longling faults, play a role at least as important as, and

perhaps more important than the Red River fault in accom- 579  
 modating the regional crustal deformation. 580

[26] Assuming that the central segment of the Red River 581  
 fault separates two subblocks located north and south of the 582  
 fault, respectively, we use the  $F$  test to test independence of 583  
 the two subblocks, and we obtain a confidence level of 584  
 84.7%. This result is less than the 90% confidence threshold 585  
 we set for block independence, indicating that deformation 586

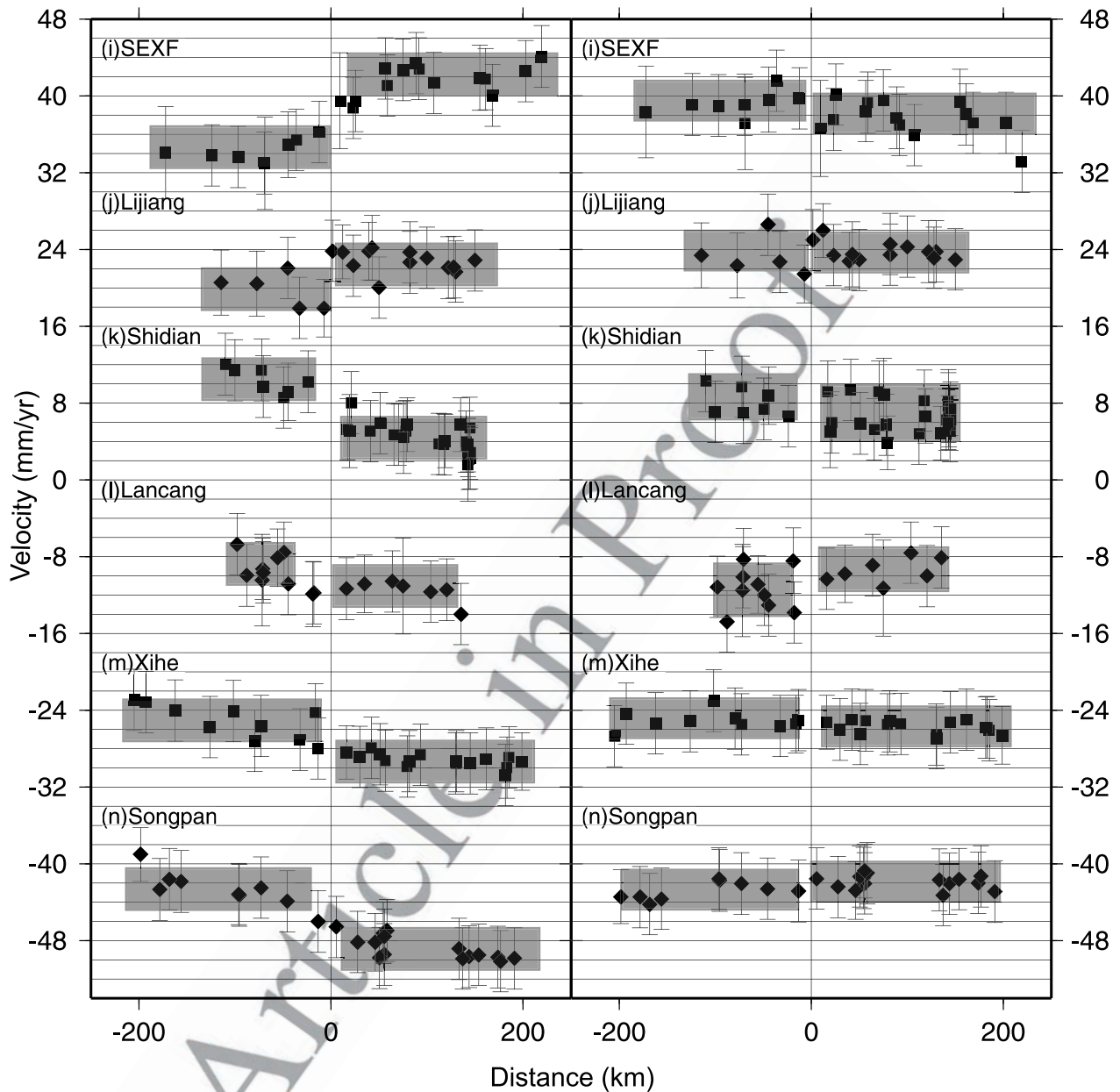


Figure 5. continued

587 across the fault is not very distinctive at the detection level  
 588 of 1–2 mm/yr at present time. We therefore merge the two  
 589 subblocks into a central Yunnan subblock (Figure 3). The  
 590 east boundary of the subblock is the Xiaojiang fault in the  
 591 north and its south-southwestward extension in the south,  
 592 with the latter crosscutting the Red River fault system and  
 593 slipping left laterally at a rate of  $7 \pm 2$  mm/yr (Figures 4b  
 594 and 5i).

595 [27] Our results show that the Sichuan-Yunnan fragment  
 596 is not rigid internally, but deforms along some small-scale  
 597 yet seismically active structures, such as the Lijiang,  
 598 Zhongdian, Chonghai, and Litang faults (Figure 1). Along  
 599 the Lijiang fault we infer  $\sim 3$  mm/yr left slip (Figures 4b  
 600 and 5j). This finding is supported by regional seismicity  
 601 studies, as *Xiang et al.* [2000] reported an active seismic zone  
 602 along this fault system. Moderate contemporary seismicity is

603 found along the Litang fault, where our GPS network  
 604 (particularly southwest of the fault) is too sparse to make a  
 605 precise measurement of its slip rate. However, block motion  
 606 analysis reveals that the Shangrila subblock located south of  
 607 the fault rotates  $1.9^\circ/\text{Myr}$  clockwise with respect to the  
 608 Eurasia reference frame, faster than the  $1.4^\circ/\text{Myr}$  clockwise  
 609 rotation of the Yajiang subblock north of the fault. The  
 610 relative motion between the two subblocks yields left lateral  
 611 slip along the Litang fault at a rate of about 4 mm/yr.

### 5.3. Western Yunnan Region

612  
 613 [28] The western Yunnan region is defined as the area  
 614 southwest of the Sichuan-Yunnan fragment. Two groups of  
 615 faults dominate the regional tectonics: one is a fault zone  
 616 continued SSE from the right-lateral Nujiang fault (here  
 617 named the “Shidian deformation zone”), which is cut into

618 segments by another group of faults trending northeast  
 619 represented by the Longling fault [Wang and Burchfiel,  
 620 1997; Deng *et al.*, 2003]. Relative to a regional GPS  
 621 tracking site at Xiaguan (on the Baoshan subblock,  
 622 Figure 4b), stations within the central Yunnan subblock  
 623 are moving east to ENE, whereas stations west of the  
 624 Shidian deformation zone are moving NW to NNW. The  
 625 differential motion is  $\sim 7$  mm/yr east-west extension across  
 626 the Chenghai, Dali, and north segment of Red River faults  
 627 (Figure 5h). There is also a  $\sim 6$  mm/yr right-lateral shear  
 628 across the Shidian deformation zone (Figures 5k and 4b).  
 629 About 300 km west lies the Sagaing fault, which Vigny *et al.*  
 630 [2003] found absorbs  $>20$  mm/yr of the 35 mm/yr  
 631 right lateral motion between India and Sundaland. The  
 632 rest has to be distributed over a broad area on both sides  
 633 of the Sagaing fault, and the right lateral shear in the  
 634 Shidian region may be part of the deformation suggested  
 635 by Vigny *et al.* [2003]. Crustal deformation in the region  
 636 further south takes the form of east-west extension.  
 637 Because of the sparse distribution of GPS sites in the  
 638 region it is difficult to associate the deformation to  
 639 specific faults. However, if it is attributed to the south  
 640 segment of the Lancang River fault which is trending  
 641 northwest, the deformation rate across the fault is  $2 \pm$   
 642  $2$  mm/yr extension and  $2 \pm 2$  mm/yr right slip (Figures 4b  
 643 and 5l). Our results are consistent with that of Holt *et al.*  
 644 [1995], who predicted east-west extension in south Yunnan  
 645 based mainly on modeling seismic moment tensor data  
 646 (the area includes both strike-slip and normal focal mech-  
 647 anisms, Figure 2). The near east-west extension also agrees  
 648 with regional geology, which is evidenced by the presence  
 649 of several small pull-apart basins in the area [Wang *et al.*,  
 650 1998].

#### 651 5.4. Northern Sichuan Region

652 [29] The northern Sichuan region is defined as the area  
 653 northwest of the Longmenshan fault and northeast of the  
 654 Xianshuihe fault (Figure 3). The region is characterized by  
 655 high mountain ranges such as the Longmen Shan, Min  
 656 Shan, Qionglai Shan, Daxue Shan, and Bayankela Shan. A  
 657 sharp topographic contrast exists across the southeast  
 658 boundary of the region [Kirby *et al.*, 2000]. Such a  
 659 geomorphic feature makes one wonder if active crust  
 660 shortening is taking place across this zone. However, our  
 661 GPS results indicate no obvious shortening ( $<3$  mm/yr)  
 662 across the Longmenshan fault (Figure 2), which is consis-  
 663 tent with the findings of King *et al.* [1997] and Chen *et al.*  
 664 [2000].

665 [30] Our GPS results detect a velocity gradient zone  
 666 trending northeast (here named the “Songpan-Xihe defor-  
 667 mation zone”), located  $\sim 150$  km northwest of and parallel to  
 668 the Longmenshan fault (Figure 2). The deformation zone  
 669 seems to be quite broad, over a range of  $\sim 100$  km in scale.  
 670 Relative motion across the entire deformation zone is deter-  
 671 mined as right-lateral shear of  $4\text{--}6$  mm/yr with virtually zero  
 672 normal motion across (Figures 4c and 5m–5n). Assuming  
 673 rigid blocks on both sides of the deformation zone, we  
 674 estimate angular velocities of the Longmenshan and Ahba  
 675 blocks with respect to the Eurasia plate (Table 1). The small  
 676 postfit residuals of the Longmenshan region indicate that its  
 677 deformation is indeed block-like (Figure 3b). The defor-  
 678 mation field in the Ahba region is moderately distributed, and

4 westernmost sites of the region are excluded to allow 679  
 realization of a coherent Ahba block. 680

## 682 6. Discussion

### 683 6.1. Geometry and Kinematics of the 684 Sichuan-Yunnan Fragment

685 [31] The Sichuan-Yunnan fragment plays an important 685  
 role in Tibetan Plateau tectonics, since a significant part of 686  
 the eastward extrusion of the plateau involves this block. 687  
 Here the Tibetan Plateau crust is being broken into smaller 688  
 microblocks, which are translated and rotated as this 689  
 “block” is transported out of the plateau and turns gradually 690  
 from moving eastward to southward along the way. Because 691  
 of the unique role the Sichuan-Yunnan fragment has played 692  
 in understanding Tibetan Plateau tectonics, its geometry and 693  
 kinematic features are of special interests and have attracted 694  
 attention of the tectonic research community. 695

696 [32] Along the northern boundary of the Sichuan-Yunnan 696  
 fragment we find  $10 \pm 2$  mm/yr left slip across both the 697  
 northwest and central sections of the Xianshuihe fault, 698  
 consistent with  $9.6 \pm 1.7$  mm/yr and  $\sim 14$  mm/yr Holocene 699  
 slip across the same segments of the fault reported by Li *et al.* 700  
*et al.* [1997] and Xu *et al.* [2003], respectively. Our result also 701  
 agrees with Allen *et al.*'s [1991] estimate of  $15 \pm 5$  mm/yr 702  
 Holocene slip rate. Wen *et al.* [1996] derived a Holocene 703  
 slip rate of 7.2 mm/yr along the central segment of the fault, 704  
 which is slightly smaller than our GPS result. Average slip 705  
 for the past 2–4 Myr was estimated as 15–30 mm/yr based 706  
 on offset of  $\sim 60$  km by Wang *et al.* [1998], but the 707  
 uncertainty of this rate is much greater than that of the 708  
 Holocene slip estimates. The good agreement between 709  
 the geodetic and Holocene geological results suggests 710  
 steady deformation rates of the fault at present time. 711

712 [33] The Xianshuihe fault joins the Anninghe-Zemuhe 712  
 fault system at its south end (Figure 1). Xu *et al.* [2003] 713  
 found  $6.5 \pm 1$  and  $6.4 \pm 0.6$  mm/yr Holocene left slip rates 714  
 across the Anninghe and Zemuhe faults from field geology, 715  
 respectively. Ren [1990] reported a Holocene slip rate of 716  
 $4.9$  mm/yr along the Zemuhe fault. These results are a bit 717  
 greater than the  $\sim 4$  mm/yr left slip we infer across the 718  
 Anninghe fault, but less than the total of  $\sim 8$  mm/yr left 719  
 slip the GPS data indicate across the Anninghe and 720  
 Daliangshan faults. Such a discrepancy may suggest tem- 721  
 poral variation of slip partitioning between the Anninghe 722  
 and Daliangshan faults: with the Anninghe fault currently 723  
 slipping somewhat slower and the Daliangshan fault a bit 724  
 faster than their geological averages. Further field work, 725  
 particularly on the Daliangshan fault, is needed to test this 726  
 hypothesis. A pull-apart basin is located at a left step 727  
 between the Anninghe and Zemuhe faults and extends in the 728  
 NNE direction [Zhang and Xie, 2001]. Our result 729  
 shows  $3 \pm 3$  mm/yr ENE extension across the Zemuhe 730  
 fault and the pull-apart basin associated with it. The strike 731  
 slip motion along the Anninghe-Zemuhe fault system and 732  
 the opening of the pull-apart basin result from the south- 733  
 ward motion of the Sichuan-Yunnan fragment relative to 734  
 the south China block. 735

736 [34] GPS studies [King *et al.*, 1997; Chen *et al.*, 2000; 736  
 this study] indicate that the central segment of the Red River 737  
 fault does not show significant deformation ( $<2$  mm/yr) at 738  
 present time. The southern segment of the Red River fault in 739

740 Vietnam, although long considered to be the block bound- 802  
 741 ary between Indochina and south China, was also found to 803  
 742 slip at low rates (1–2 mm/yr) by GPS [Duong and Feigl, 804  
 743 1999; Feigl et al., 2003]. This is consistent with a far field 805  
 744 GPS study in the region [Michel et al., 2000], which 806  
 745 showed no significant deformation across this segment of  
 746 the fault. Geologic studies of offset Holocene and Pliocene  
 747 features placed 1–5 mm/yr right slip along the central and  
 748 southern segment of the fault [Allen et al., 1984; Wang et  
 749 al., 1998; Replumaz et al., 2001]. In addition, seismicity has  
 750 been weak along this fault for the past 30 years. On the  
 751 basis of these observations, it can be concluded that the  
 752 central and southern segments of the Red River fault do not  
 753 deform at a high rate at present time. On the other hand,  
 754 about 200 km southwest of the fault and in the neighbor-  
 755 hood of the south segment of the Lancang River fault,  
 756 ~2 mm/yr right slip is detected across a zone trending  
 757 northwest. Therefore the south section of the Lancang River  
 758 fault, or a deformation zone associated with the fault system  
 759 seems to be the southern boundary of the Sichuan-Yunnan  
 760 fragment, at least at the present time (Figures 3 and 4).

761 [35] The GPS velocities indicate that the left-lateral 807  
 762 strike-slip motion along the Xiaojiang fault system extends 808  
 763 southwestward across the Red River fault (Figures 2, 4b, 809  
 764 and 5j). Geological investigations showed that the Red 810  
 765 River fault system is bent, but not cut, by the left-lateral 811  
 766 shear of the Xianshuihe-Xiaojiang fault system, resulting in 812  
 767 minor oblique slip along the Red River fault [Wang et al., 813  
 768 1998]. It has been suggested that the Dien Bien Phu fault 814  
 769 takes up a major part of the transferred left-lateral motion, 815  
 770 and the Xianshuihe-Xiaojiang-Dien Bien Phu fault system 816  
 771 forms the east boundary of the crustal material that has 817  
 772 rotated clockwise relative to the south China block during 818  
 773 late Cenozoic time [Wang et al., 1998; Michel et al., 2000]. 819  
 774 By contrast, Lacassin et al. [1998] inferred from long-term 820  
 775 river offsets that the left-lateral shear deformation is dis- 821  
 776 tributed broadly on several left-slip faults northwest of the 822  
 777 Dien Bien Phu fault, such as the Nam Ma, Mengxing, and 823  
 778 Mae Chan faults, at a cumulative rate of 1.9–7.5 mm/yr. 824  
 779 Our GPS observations are more consistent with those of 825  
 780 Lacassin et al. [1998] (Figures 1 and 2), although we cannot 826  
 781 precisely correlate the deformation with known faults in the 827  
 782 region yet. There appears to be a gap of mapped faults in the 828  
 783 region immediately south of the central segment of the Red 829  
 784 River fault and north of the Nam Ma, Mengxing, and Mae 830  
 785 Chan faults. This area is in the high mountains close to the 831  
 786 Sino-Vietnam border, and future field mapping will be 832  
 787 important to locate corresponding faults. Nevertheless, 833  
 788 GPS observations and geological investigations attest that 834  
 789 the northernmost part of Indochina is not a rigid block 835  
 790 separated from the south China block by the Red River 836  
 791 fault. Instead, it inherits a significant part of the left-slip 837  
 792 motion southwestward from the Xiaojiang fault separating 838  
 793 the Sichuan-Yunnan fragment from the south China block. 839  
 794 Deformation seems to become diffuse south of the southern 840  
 795 segment of the Lancang River fault. 841

796 [36] About 2 mm/yr right slip and 2 mm/yr near east-west 842  
 797 extension are detected across the northwest segment of the 843  
 798 Red River fault which is considered the southwest boundary 844  
 799 of the Sichuan-Yunnan fragment (Figures 4a and 5h). No 845  
 800 precise determination of the northwest boundary for the 846  
 801 Sichuan-Yunnan fragment can be inferred from this study,

due to sparse distribution of the GPS stations in the region  
 west of the Shangrila subblock, where several faults such as  
 the Red River, Lancang River, and Nujiang faults trend  
 north-south and run parallel within a ~30-km-wide zone  
 (Figure 1).

## 6.2. Deformation Around Northern Sichuan 807

[37] Despite of the striking geomorphic features of the  
 Longmen Shan, the low shortening rate at present time  
 (<1–3 mm/yr) [King et al., 1997; Chen et al., 2000; this  
 study] and the lack of a Cenozoic foredeep [Kirby et al.,  
 2000], indicate limited Cenozoic shortening across this  
 mountain belt. In contrast, a remarkable right-lateral  
 shear zone trending northeast, the Songpan-Xihe deforma-  
 tion zone, is found ~150 km northwest of the  
 Longmenshan fault between the Longmenshan and Ahba  
 blocks (Figure 4c). This discovery is somewhat puzzling  
 because the deformation cannot be correlated with a known  
 active fault. Along the southwest section of the Songpan-  
 Xihe deformation zone, a couple of short fault segments  
 trending northeast have been mentioned in previous studies  
 [Ma, 1989; Tang et al., 1995]. Although no precise location  
 was given, Burchfiel [2004], on the basis of GPS observa-  
 tions in the region, inferred existence of a northeast trending  
 boundary west of the Longmen Shan with 8–15 mm/yr  
 active right shear. However, geological documentation of  
 contemporary faulting is rather limited, because of difficult  
 accessibility, heavy vegetation, and lack of late Quaternary  
 deposits in the region [Deng et al., 1994]. Regional earth-  
 quakes of the past 30 years show a scattered seismicity  
 pattern, nevertheless seismic events seem to align in a  
 northeast direction around the northeast segment of this  
 deformation zone (Figure 1). Further investigation is needed  
 to better understand the tectonic deformation of the region.

[38] The eastward extrusion of Tibet has produced a right-  
 lateral strike-slip boundary northwest and a left-lateral  
 strike-slip boundary southwest of the Longmenshan block,  
 and the Longmenshan block stays almost stationary with  
 respect to the south China block. Thus the eastward motion  
 of Tibet seems to be partitioned between a northern and a  
 southern zone of extrusion. Extrusion of the northern  
 plateau is accommodated mainly along the Qilian Shan-  
 Nan Shan transpressional fault belt [Chen et al., 2000; Z.-K.  
 Shen et al., 2001]), while extrusion of southern Tibet is  
 rotated around the EHS in a complex, broadly deformed  
 region and eventually absorbed in northern Indochina.

## 6.3. Deformation Dynamics 847

[39] Many dynamic models have been proposed to sim-  
 ulate the continental collision process between India and  
 Asia and explain the mechanical evolution of Tibet that  
 resulted from the collision. The most prominent ones can  
 usually be categorized into two end-member models, as we  
 described in the introduction section of the paper. One  
 school of models prescribes the crust as being fractured  
 into a limited number of tectonic blocks by large-scale  
 strike-slip faults (hereafter called “block motion” model,  
 e.g., Tapponnier et al., 1982; Peltzer and Tapponnier, 1988;  
 Avouac and Tapponnier, 1993; Replumaz and Tapponnier,  
 2003), whereas the other class of models treats the crust as a  
 thin viscous sheet, whose deformation is broadly distributed  
 (hereafter called “viscous sheet” model [e.g., England and

862 *Molnar, 1997b; Flesch et al., 2001*). A variation of the  
 863 viscous sheet model was developed by *Royden et al. [1997]*  
 864 and *F. Shen et al. [2001]*, in which a layered viscous  
 865 lithosphere is considered, whose viscosity has varied pro-  
 866 gressively during the development of the plateau, and  
 867 viscous channel flow in the lower crust developed at its  
 868 later stage (hereafter called “channel flow” model).  
 869 Despite of their fundamental differences, all of these models  
 870 acknowledge that the brittle upper crust likely deforms by  
 871 discrete faulting. They differ dramatically with regards to  
 872 the material properties and deformation style of the lower  
 873 crust and uppermost mantle, which ought to be reflected at  
 874 some scale in the deformation pattern at the Earth’s surface.  
 875 We attempt to compare first-order deformation patterns  
 876 predicted by the different end-member models with the  
 877 GPS observations.

### 878 6.3.1. Clockwise Rotation Around EHS

879 [40] GPS results show significant clockwise rotation in a  
 880 region between the EHS and the left-lateral strike-slip  
 881 Xianshuihe-Xiaojiang fault system. With respect to the  
 882 south China block, this region changes its motion direction  
 883 from east-southeastward south of the Ganzi-Xianshuihe  
 884 fault to southward west of the Xiaojiang fault, and even  
 885 to westward east of the Sagaing fault. Such a rotation  
 886 pattern can also be identified in the instantaneous block  
 887 motion rates from north to south (Table 1 and Figure 3b).  
 888 All the models mentioned above involve clockwise rotation  
 889 in the region, but the amounts vary. With respect to the  
 890 south China block, the block motion and viscous sheet  
 891 models usually predict southeast directed motion [e.g.,  
 892 *Flesch et al., 2001; Replumaz and Tapponnier, 2003*] while  
 893 the channel flow model suggests south directed rotation  
 894 [*F. Shen et al., 2001*].

### 895 6.3.2. Extent of Clockwise Rotation

896 [41] Our GPS result shows a quite limited extent of  
 897 clockwise rotation for the region, for which the deformation  
 898 models offered quite different predictions. The block  
 899 motion models usually yield large-scale clockwise rotation  
 900 for the region east of the Xiaojiang fault, sometimes  
 901 covering the entire south China block. On the contrary,  
 902 the viscous sheet and channel flow models predict clock-  
 903 wise rotation only within the southeast borderland of the  
 904 Tibetan Plateau, and the channel flow model even predicts  
 905 slightly counterclockwise rotation east of the Xiaojiang  
 906 fault.

### 907 6.3.3. Eastward Motion of South China Block

908 [42] We estimate 7–8 mm/yr ESE motion of the south  
 909 China block with respect to the Eurasian plate, a result  
 910 consistent with the observations of *Shen et al. [2000]* and  
 911 *Wang et al. [2001]*. This rate is only about 1/5 of the  
 912 convergence rate between India and Asia, and is approxi-  
 913 mately in agreement with the predictions of the viscous  
 914 sheet models but significantly less than that of block motion  
 915 models (e.g., a rate of ~18 mm/yr for the last 5 Myr given  
 916 by *Replumaz and Tapponnier [2003]*).

### 917 6.3.4. Regional Pattern of Deformation

918 [43] We find that although the Xianshuihe-Xiaojiang is  
 919 the most dominant fault system in the region, deformation is  
 920 not limited to this fault system, but distributed across  
 921 numerous active faults such as the Lijiang, Litang, and  
 922 northern segment of the Red River faults. At 1–2 mm/yr  
 923 accuracy level we have identified seven coherent blocks

without significant internal deformation (Figure 3a and 924  
 Table 1). As the size of tectonic blocks bounded by crustal 925  
 faults decreases, it becomes more difficult to consider the 926  
 deformation as that of a few major tectonic blocks as 927  
 proposed by the block motion model. There is still “block 928  
 tectonics” but the scale and kinematics make this more 929  
 consistent with a distributed deformation pattern. Thus, 930  
 while large coherent blocks may dominate the continental 931  
 deformation elsewhere in the collision zone (W. Thatcher, 932  
 submitted to *Science*, 2005), deformation in the southeast 933  
 borderland is broadly distributed over a wide region. 934

[44] Also, the lack of both active convergence in our 935  
 GPS data and of significant Cenozoic contraction across 936  
 the dramatic topographic escarpment of the Longmen Shan 937  
 suggests that the eastern Tibetan Plateau thickened without 938  
 significant crustal shortening. This suggests that lower 939  
 crustal flow may have significantly contributed to the 940  
 morphology of the eastern plateau. Ultimately, resolving 941  
 vertical motions at submillimeters per year resolution 942  
 would be valuable to constrain the 3D expression of the 943  
 deformation in the region. 944

### 945 6.3.5. Deformation Around Southwest Yunnan

[45] On a regional scale, we find that no model agrees 946  
 well with the deformation field around southwest Yunnan, 947  
 where our GPS result demonstrates southwestward motion 948  
 with respect to the south China block. The channel flow 949  
 model [*F. Shen et al., 2001*] comes closest, predicting 950  
 southward instead of the observed southwestward motion 951  
 with respect to south China. Such a discrepancy between the 952  
 channel flow model prediction and the data may result from 953  
 the model missing the effect of eastward subduction of the 954  
 Indian plate beneath Sundaland and westward back-arc 955  
 spreading associated with the subduction process [*Paul et* 956  
*al., 2001*]. 957

### 958 6.3.6. Summary

[46] We find that although none of the models is in 959  
 complete agreement with the GPS observations, the viscous 960  
 sheet models, particularly the ones with the channel flow 961  
 effect in the lower crust incorporated, seem to do a better 962  
 job overall. It should be pointed out that block motion 963  
 models may explain the early phase of the Indo-Asian 964  
 collision process better; at that time Tibetan crust was not 965  
 thickened enough to allow viscous channel flow to be 966  
 developed in the lower crust, and lateral extrusion perhaps 967  
 played a greater role in accommodating India indentation 968  
 into the Asian continent. At present time, however, the 969  
 effect of viscous flow in the lower crust of Tibet appears to 970  
 be playing an important role in crustal deformation of the 971  
 southeast borderland of the Tibetan Plateau. This view is 972  
 also supported by seismic tomography studies, which 973  
 showed widely developed low-velocity zones in the lower 974  
 crust of the region west of the Longmenshan and Xiaojiang 975  
 faults and north of the Red River fault [*C.-Y. Wang et al.,* 976  
*2003; Huang et al., 2002; Liu et al., 2005*]. The Red River 977  
 fault, despite of being perhaps a mechanically weak zone in 978  
 the lithosphere, is no longer tectonically active as before, 979  
 probably because its location and geometry make it less 980  
 efficient to transfer crustal material out of Tibet. The 981  
 relatively small sizes of the microblocks and their rotation 982  
 pattern demonstrated in our GPS results seem to suggest a 983  
 model with a mechanically weak lower crust experiencing 984  
 distributed deformation underlying a stronger upper crust. 985

986 Such a model still waits to be verified further, since we have  
 987 no direct or indirect measurements of the deformation and  
 988 rheology of the lower crust in this region. However, if the  
 989 model is correct, it suggests that deformation of the south-  
 990 east borderland is driven mainly by eastward motion of the  
 991 plateau and gravitational buoyancy forces. Crustal flow gets  
 992 narrower north of the EHS due to the EHS north-northeast-  
 993 ward advancement [Xu and Kamp, 2000]. Further east, the  
 994 crustal flow turns gradually from eastward to southward  
 995 motion because of the blocking in the east by the rigid and  
 996 slow moving south China block. Further south, the flow  
 997 pattern spreads out possibly due to the influence of India's  
 998 eastward subduction underneath Sundaland, and by the  
 999 gravitational buoyancy force associated with the sharp  
 1000 topographic gradient across the region: the mean elevation  
 1001 drops from ~5 km in northern Sichuan-Yunnan fragment to  
 1002 merely ~1 km in northern Indochina within ~500 km.

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